Complementary and alternative technique for the determination of electron effective mass: quantum Hall effect

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The quantum Hall effect measurements in the AlInN/AIN/GaN heterostructure are studied in the temperature range from 1.8 K to 14 K and a magnetic field up to 11 T. The quantized two-dimensional electron gas was placed at the AIN/GaN interface. The Hall resistance of two-dimensional electron gas has been found to be quantized at multiple integers of von Klitzing constant that refers to the integer quantum Hall effect. The experimental data have been used to determine the Fermi energy, carrier density, and effective mass two-dimensional electrons. The results are in agreement with those derived from the longitudinal magnetoresistance in the same structure.

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1. Introduction

The alloys of wide bandgap semiconductors, such as GaN and AlN, and a narrow bandgap semiconductor, such as InN, are very attractive semiconductor materials because of not only a very wide range of bandgap between 0.6 to 6.2 eV [1-3] but also because there are other effective characteristics, such as large piezoelectricity, high thermal conductivity, and chemical stabilities. Despite the progress in the development of devices, many fundamental material parameters of these structures are still not fully understood. The knowledge on these parameters, such as effective mass of electrons, is important for the exploration and optimization of this material system in device applications. Determination of the effective mass of electrons, under the environmental conditions in the steady state, provides useful information about the electron-phonon interactions. Furthermore, electron-phonon scattering processes determine the highfield transport phenomena in semiconductors and thus form the basis for many ultrafast optoelectronic devices. The field of electron-phonon scattering processes in semiconductors thus provides a link between fundamental semiconductor physics and high-speed devices. The effective mass of electrons in many semiconductors is also affected from the environmental conditions such as temperature, magnetic field, electrical field, and light intensity. Therefore, the effective mass of electrons from different experimental techniques needs to be determined. Three main techniques are used in the evaluation of the effective mass of materials, namely magneto-optical studies, such as cyclotron resonance, magnetotransport, and Raman spectroscopy. The effective mass of carriers

contributed to conductivity has been extensively studied by using Shubnikov-de Haas (SdH) oscillations in magnetoresistance at low temperature [4-8]. Recently, electron effective masses and quantum lifetimes determined by the SdH oscillations of AlInN/AlN/GaN heterostructures were reported [6, 7].

Since the discovery of the quantum Hall effect, and its use as the resistance standard, it has had an important role [9]. The linear behavior in a low magnetic field (classical regime) and staircase behavior in a high magnetic field (quantum regime) of off-diagonal resistance was found to be interesting by researchers. However, Hall resistance undergoes certain quantum Hall transitions to take on the quantized values, h/ne^2 (n=0, 1, 2, ... is the filling factor of the quantum Hall states) in almost all semiconductors, and the filling factor of the quantum Hall states is found to be as n=2+4v (v=0, 1, 2, ...) in monolayer graphene [10]. This observation has led to increased interest again in the quantum Hall effect. Although it is significantly important for finding the number of carriers (Hall concentration) contributing to transport at low magnetic field the high-field measurement, data obtained in measurements are not attributed another task apart from the state to reveal. However, it is shown by us that Hall effect measurements can also be used to obtain the physical parameters of the structures [11, 12]. The effective mass values found with this developed method are in harmony with the values found by other methods.

In a simple degenerate 2D system, with only one type of carrier, the magnetic field dependence of diagonal (ρ_{xx}) and off-diagonal (ρ_{xy}) components of the resistivity is described by [13, 14]

$$\frac{1}{2}\frac{\Delta\rho_{xx}}{\rho_0} = -\omega_c \tau_t \frac{\Delta\rho_{xy}}{\rho_0} \tag{1}$$

where $\rho_0 (=\rho_{xx}(B=0))$ is the zero magnetic-field resistivity, $\omega_c (=eB/m^*)$ is the cyclotron frequency, m^* is the in-plane effective mass, τ_t is the transport lifetime at zero magnetic field. The variation of the diagonal component of the resistivity with a magnetic field is well described by the analytical function [13, 14]

$$\frac{\Delta \rho_{xx}}{\rho_0} \propto \exp\left(-\pi/\omega_c \tau_q\right) \frac{2\pi^2 k_B T / \hbar \omega_c}{\sinh(2\pi^2 k_B T / \hbar \omega_c)} \cos\left(\frac{2\pi(E_F - E_1)}{\hbar \omega_c} - \pi\right)$$
(2)

where E_F is the Fermi energy, τ_q is the quantum lifetime of electrons, T is the temperature, k_B is the Boltzmann constant, \hbar is the Plank constant, and E_F - E_I is the electron energy with respect to the bottom of the first subband. The exponential term describes the weakening term due to the collision enlargement. The temperature dependent second term, which can be used to determine the effective mass of the carriers, describes the temperature damping of the Landau levels. The in-plane effective mass of 2D electrons can be determined from the temperature dependence of the diagonal (ρ_{xx}) and off-diagonal (ρ_{xy}) components of the resistivity at constant magnetic field (B_n) using

$$\frac{A(T,B_n)}{A(T_0,B_n)} = \frac{T.\sinh\left(\frac{2\pi^2 k_B m * T_0}{\hbar e B_n}\right)}{T_0.\sinh\left(\frac{2\pi^2 k_B m * T}{\hbar e B_n}\right)}$$
(3)

where $A(T_0, B_n)$ and $A(T, B_n)$ are the amplitudes of the oscillation peaks observed at a magnetic field B_n and at temperatures T_0 and T and, respectively. The carrier density (N_{2D}) has been determined from the cosine term arguments of Eq. (1), period of the oscillations,

$$\Delta \left(\frac{1}{B}\right) = \frac{e}{\pi \hbar N_{2D}} = \frac{e\hbar}{m^* (E_F - E_1)}.$$
 (4)

2. Experimental details

The Al_{1-x}In_xN/AlN/GaN (x=0.17) heterostructures were grown on sapphire substrates in a low pressure MOCVD reactor. The details of Hall-bar shaped sample have been reported elsewhere [6]. The off-diagonal resistance (R_{xy}) were carried out as functions of the lattice temperature at a fixed electric field that was low enough to guarantee ohmic conditions and hence to avoid Joule heating. In the experiments, a conventional DC technique in combination with a nanovoltmeter and a constant current source in a cryogen free superconducting magnet system were used. The current flow was in the plane of the electron gas. Steady magnetic fields up to 11 T were applied perpendicular to the plane of the samples. All of the measurements were taken in the dark.

3. Results and discussions

The typical examples for Hall resistance (R_{xy}) and magnetoresistance (R_{xx}) measurements in the AlInN/AlN/GaN heterostructure sample measured at 1.8 K are shown in Fig. 1. The oscillations are evidently observable. The overall positive $R_{xy}(B)$ indicates that the contribution to conductivity is mostly from electrons. The plateaus with values $h/ve^2 = 25812.8/v \Omega$ (v=68, 70, 72 ... is the filling factor of the quantum Hall states) confirms that the observed Hall plateaus are in the Integer Quantum Hall Effect (IQHE) regime. A similar behavior of Hall resistance with a magnetic field, although less pronounced, was reported previously for GaN-based 2D structures such as AlGaN/GaN heterostructures [15-17]



Fig. 1.Magnetoresistance (R_{xx}) and Hall resistance (R_{xy}) as a function of magnetic field for AlInN/AlN/GaN heterostructure sample measured at 1.8 K

It is apparent that the oscillatory effect is superimposed on a monotonically increasing component, which occurs as a result of positive magnetoresistance in the barriers [8]. It is a common knowledge that the monotonic contribution is a quadratic magnetic field dependence of the magnetoresistance.

There are two methods for the elimination of monotonic contribution from the magnetoresistance. These are the polynomial method and the negative second derivative method. In the first method, the oscillating component magnetoresistance has been obtained by subtracting a second-order smooth polynomial from the raw experimental data. In the second method, to exclude the effects of the background magnetoresistance and to quantum the oscillations from extract the magnetoresistance, the negative second derivative of the experimental magnetoresistance data with respect to the magnetic field is calculated [6-8].

The oscillating component of Hall resistance obtained for the AlInN/AlN/GaN heterostructure sample by using these two methods is shown in Fig. 2. The oscillations have well-defined envelopes and symmetrical about a horizontal line as shown in Fig. 2. Both techniques do not change either the period or the peak position of the oscillations. The periods of the quantum oscillations in diagonal (ΔR_{xx}) and off-diagonal (ΔR_{xy}) components of the resistance are found to be identical. The quantum oscillations observed in ΔR_{xx} and ΔR_{xy} are in anti-phase as a result of minus sign in Eq. 1. It is also evident from Fig. 2 that the oscillations enclose only one period representing that only the bottom subband is populated by electrons.

The periods of the Hall oscillations ($\Delta(1/B)$) have been obtained from the plots of the reciprocal magnetic field ($1/B_n$), at which the *n*th peak occurs, against the peak number *n* and also from the Fast Fourier Transform (FFT) analysis of the quantum oscillations data (Fig. 3). The period does not depend on the temperature in the range from 1.8 K. The carrier density (N_{2D}) in the subband and Fermi energy have been determined from the Eq. (4) together with the effective mass (m^*) of 2D electrons as obtained from the temperature dependence of amplitude of the oscillations (see below). The result obtained for N_{2D} is 7.25x10¹⁶ m⁻² and Fermi energy E_F - E_I =92.26 meV for the AlInN/AlN/GaN heterostructure sample.



Fig. 2. Derivation of the oscillating components of the magnetoresistance and Hall resistance obtained by (a) polynomial method and (b) negative second derivative method. The measurement temperature is 1.8 K



Fig. 3. The reciprocal magnetic field $(1/B_n)$ plotted as a function of the oscillation peak number (n) of AlInN/AlN/GaN heterostructure. The full squares correspond to the data given in Fig. 2. The straight line is the least-squares fit to the experimental data. The insert shows the fast Fourier spectrum of the oscillations $(N = 2^8 \text{ and } \Delta T = 4.9 \times 10^{-2} \text{ T}^1$ is the sampling interval). There is no evidence for the population of higher subbands or for any contribution from higher harmonics

The temperature dependent Hall oscillations is shown in Fig. 4, where only the data sets measured at three different temperatures are presented for clarity. Fig. 5 shows that the temperature dependence of relative amplitude $A(T,B_n)/A(T_0,B_n)$ of the oscillations. The $A(T,B_n)/A(T_0,B_n)$ decreases with increasing temperature in accordance with the thermal damping factor (Eq. (2)). The effective mass of 2D electrons in the AlInN/AlN/GaN heterostructure sample can be determined from the temperature dependence of the Hall oscillations amplitude at constant magnetic field using Eq. 3. A similar analysis made for all the oscillation peaks in Hall resistance observed in the magnetic-field range from 7 to 11 T have established that the in-plane effective mass of 2D electrons in AlInN/AlN/GaN heterostructure sample is essentially independent of the magnetic field. The results found for the effective mass $(m^*=19m_0)$ of 2D electrons in the AlInN/AlN/GaN heterostructure sample determined from the temperature dependence of Hall oscillations are in good agreement with those determined from SdH effect measurements [6].



Fig. 4. The effects of temperature on the oscillations arising from the electrons in the subband, as extracted from the $R_{xy}(B)$ data for an $Al_{0.83}In_{0.17}N/AlN/GaN$ heterostructure sample. The solid curves through the experimental data points are intended as a guide for the eve



Fig. 5. The temperature dependence of the normalized amplitude of the oscillation peak at a fixed magnetic field B_n in $Al_{0.83}In_{0.17}N/AIN/GaN$ heterostructure. The data points are represented by the full squares and full curves are the best fits of Eq. (7) to the experimental data

4. Conclusions

The carrier density (N_{2D}) , effective mass (m^*) and Fermi energy with respect to subband energy $(E_F - E_1)$ in Al_{0.83}In_{0.17}N/AlN/GaN heterostructures have been determined from the quantum oscillations in Hall resistance. The results obtained for the Al_{0.83}In_{0.17}N/AlN/GaN heterostructure by measuring the quantum oscillations in Hall resistance are in good agreement with those determined from the SdH effect in magnetoresistance. These results constitute an independent and definite validation of the reliability of quantum oscillations in Hall resistance as a tool for effective mass determinations in semiconductors.

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